

## Bolsover District Council

### Summary of Internal Audit Recommendations made and implemented 2020/21 – 2022/23 (at 31st August 2022)

<b>Recommendations Made</b>	<b>2020/21</b>	<b>2021/22</b>	<b>2022/23</b>
Number of High Priority	1	1	0
Number of Medium Priority	20	13	3
Number of Low priority	32	27	6
<b>Total</b>	<b>53</b>	<b>41</b>	<b>9</b>
<b>Recommendations Implemented</b>	<b>48</b>	<b>36</b>	<b>0</b>
High Recommendations Outstanding	0	0	0
Medium Recommendations Outstanding	2	0	0
Low Recommendations Outstanding	3	0	0
Not overdue yet	0	5	9
Total Overdue Recommendations	5	0	0
<b>Percentage due implemented</b>	<b>91%</b>	<b>100%</b>	<b>100%</b>

## BDC Internal Audit Recommendations Outstanding

**2020/21**

Report Ref	Audit	Directorate	Conclusion	Recommendations			Total	Not	Overdue	Implemented
				High	Medium	Low		Overdue		
B018	Laptops & Removable Media	Corporate Resources	Reasonable		2	4	6		2L	4
B022	Network Security	Corporate Resources	Reasonable		2	1	3		2M 1L	
<b>Total</b>					<b>5</b>	<b>10</b>	<b>15</b>		<b>5</b>	<b>4</b>

**2021/22**

Report Ref	Audit	Directorate	Conclusion	Recommendations			Total	Not		Implemented
				High	Medium	Low		Overdue	Overdue	
B009	Disaster Recovery	Strategy & Development	Substantial			3	3	1		2
B010	30 Year HRA Business Plan	Both	Reasonable	1	2		3	3		
B014	Housing Rents	Strategy & Dev	Substantial		1	1	2	1		1
<b>Total</b>				<b>1</b>	<b>3</b>	<b>4</b>	<b>8</b>	<b>5</b>		<b>3</b>

**2022/23**

<b>Report Ref</b>	<b>Audit</b>	<b>Directorate</b>	<b>Conclusion</b>	<b>Recommendations</b>			<b>Total</b>	<b>Not Overdue</b>	<b>Overdue</b>	<b>Implemented</b>
				<b>High</b>	<b>Medium</b>	<b>Low</b>				
B001	Cash and Banking	Resources	Substantial				0			0
B002	Food Hygiene		Reasonable*		2	5	7	7		
B003	Sundry Debtors	Resources	Substantial				0			0
B004	Risk Management	Resources	Reasonable		1	1	2	2		
<b>Total</b>				<b>0</b>	<b>3</b>	<b>6</b>	<b>9</b>	<b>9</b>	<b>0</b>	<b>0</b>

## BDC Outstanding Internal Audit Recommendations as at 31st August 2022

Audit and date audit completed	Recommendation Outstanding	Priority and Original Target Date	Managers Comment
Laptops and Removable Media – March 21	As part of its next review, the Joint Information Security Policy should be examined and updated where appropriate to reflect any lessons learned from the effects of the rapid move to home working experienced during the Covid-19 pandemic.	Low January 22 Revised target date April 23	The Joint ICT Information Security Policy will be reviewed during the implementation of M365, which is currently being trialled. The implementation of M365 will necessitate a significant re-drafting of the policy.
Laptops and Removable Media – March 21	Where multiple devices of the same type are allocated to Officers or Elected Members, the need for the surplus devices is investigated and where appropriate returned to stock for redeployment.	Low April 21	Not yet actioned. Responsibility for this is transferring from Governance to IT
Network Security – June 2021	All policies are reviewed and appropriately version controlled with the relevant versions added and updated on the Joint ICT Intranet site and DDDC SIDD.	End October 2021 Revised Target Date April 2023	Communications have been provided the latest versions and asked to update the Intranets. Policies are being reviewed and updated alongside the introduction of M365 as this will introduce additional policies.
Network Security – June 2021	Joint ICT Strategies are updated and where extant removed from the Intranet site	Medium	Awaiting re-organisation and recruitment to assistant director post.

Audit and date audit completed	Recommendation Outstanding	Priority and Original Target Date	Managers Comment
	and new versions appended as appropriate.	End February 2022  Revised Target date of April 2023	
Network Security – June 2021	ICT should consider the development of an I-Cloud Policy for NEDDC, BDC and DDDC and include this on the Council's Joint ICT Intranet Site.	Low  End March 2022  Revised target date April 2023	This will be reviewed with the ICT security policy.